



2815

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2815

Examiner: Matthew Landau

Inventor: Ricky Amos

Serial No. 09/995,031

Filed: Nov. 29, 2001

For: High Temperature Processing Compatible Metal Gate
Electrode for FET's and Method for Fabrication

Attorney Docket No.: 64,610-062 (YOR920010633US1))

Certificate of Mailing

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop: Appeal, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: July 9, 2003

[Signature]
Kathy Dixon

DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(d) (1) and (2)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In further satisfaction of our Duty of Candor, pursuant to 37 C.F.R. §1.97, the art listed and identified on the attached Form PTO-1449 is being submitted herewith for consideration by the Examiner. The possible relevance of the listed references lies in the fact that they were cited in a PCT International Search Report. A copy of U.S. Patent Nos. 6,238,933B1 and 6,512,297B2 are attached herewith. U.S. Patent Nos. 6,040,610, 6,403,997 and US2001/0032995A1 were previously cited by the Examiner. The Commissioner is authorized to charge any fees which may be required to Deposit Account No. 50-0510.

It is Applicant's opinion that the claims presently on file patentably distinguish the present invention from each of these

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S.S.N. 09/995,031

references. The above references are being cited in the interests of candor and without admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art.

Respectfully submitted,

TUNG & ASSOCIATES

By

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FORM PTO-1449 (MODIFIED)

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

(Use several sheets if necessary)

ATTY DOCKET NO.

64,610-062
(YOR920010633US1)

SERIAL NO.

09/995,031

APPLICANT Ricky Amos

FILING DATE
Nov. 29, 2001

GROUP 2815

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,238,933B1	05/2001	Sun et al			
	AB	6,512,297B2	01/2003	Matsuno et al			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	AP						
	AQ						
	AR						

OTHER ART (including Author, Title, Date, pertinent pages, etc.)

	AS	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.